

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Wills et al. (TI-37082)

Conf. No. 9565

Serial No. 10/749,416

Group Art Unit: 2863

Filed: December 31, 2003

Examiner: Bui

For: Wavelet Analysis of One or More Time Domain Reflectometry (TDR) Signals to
Determine One or More Characteristics of One or More Anomalies in a Wire

DECLARATION OF KENDALL SCOTT WILLS

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

I, Kendall Scott Wills, hereby declare:

1. I am one of the named inventors in this patent application.
2. I have been employed by Texas Instruments Incorporated, in Dallas, Texas, since at least as early as May 12, 2003.
3. On information and belief, Exhibit A to this Declaration is a copy of pages of an engineering notebook prepared by Michael Dockins, also one of the named inventors in this patent application, during his employment at Texas Instruments Incorporated. This engineering notebook describes a project that Michael Dockins worked on with me during his employment at Texas Instruments Incorporated, in the United States, in the summer of 2002. This work was performed by us at least as early as May 12, 2003.

4. Pages 14 and 15 of Exhibit A describe the concept of time domain reflectometry (TDR) and the capability of TDR to locate circuit features physically. Page 15 also describes our idea that "Comparative TDR", which uses multiple TDR waveforms of objects with known circuit features, and which compares TDR waveforms of unknown circuit features, with the similarities and differences providing information about the circuitry associated with the unknown waveforms. These pages of the engineering notebook were prepared by Michael Dockins in the United States at least as early as May 12, 2003.

5. Pages 40 through 45 of Exhibit A describe our conception of the idea of using a wavelet transform (WT) in TDR. As described on page 40, the wavelet transform allows both time and frequency resolution to be changed based on the frequencies being examined; this property can be used to overcome limitations of conventional TDR. These pages of the engineering notebook were prepared by Michael Dockins in the United States at least as early as May 12, 2003.

6. Pages 54 through 59 of Exhibit A describe our idea that anomalies of packaged integrated circuit devices can be identified by calculating wavelet power spectra using wavelet transforms, and by then comparing the wavelet analysis results among different integrated circuit devices to determine similarities. These pages of the engineering notebook were prepared by Michael Dockins in the United States at least as early as May 12, 2003.

7. Pages 61 through 65 of Exhibit A describe a successful experiment in analyzing actual integrated circuit devices according to this technique. Pages 68 and 69 of Exhibit A describe the system and software used to perform this experiment. Page 64 illustrates instantaneous power spectra of wavelet transforms of signals applied to a integrated circuit device under test and to reference devices. In each case, these instantaneous power spectra are shown as a function of time from the launch of a time domain reflectivity (TDR) signal, with the density of each plotted point representing the power at the corresponding time and frequency values. As described in those pages, the integrated circuit device under test showed a failure in the connection between a solder bump connection and its die prior to stress ("pre-stress"). The measured instantaneous power spectrum of the wavelet transform for the device under test, pre-

stress (in its failed condition), which is shown in the upper left of page 64, resembled the instantaneous power spectrum shown in the upper right of page 64 for a reference package with no die (which would provide a similar electrical characteristic to a bump-to-die connection failure). After stressing of the device under test and the resulting recovery of that connection failure, the instantaneous power spectrum of the wavelet transform of the device under test, shown in the lower left of page 64, resembled that of a known good device, shown in the lower right of page 64. This experiment was performed in the United States at least as early as May 12, 2003, and these pages of the engineering notebook were prepared by Michael Dockins in the United States at least as early as May 12, 2003.

8. Pages 72 and 73 of Exhibit A describe conclusions from our experiment, in that the wavelet transform technique allows time-frequency analysis of TDR signals, which is useful in identifying circuit and defect properties. These pages of the engineering notebook were prepared by Michael Dockins in the United States at least as early as May 12, 2003.

9. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



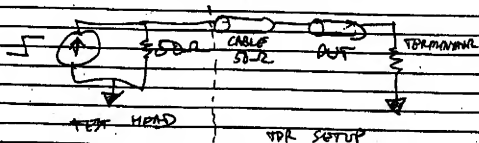
Kendall Scott Wills

Date: 6/22/2006

EXHIBIT A

PROJECT BACKGROUNDTIME DOMAIN REFLECTOMETRY (TDR)

A TDR SYSTEM CONSISTS OF AN OSCILLOSCOPE
A STEP FUNCTION GENERATOR, A WIDE-BANDWIDTH
SAMPLER AND A DUAL-TIPPED PROBE



THE FUNCTION GENERATOR IN THE TEST HEAD
PRODUCES A FAST LOW TIME STEP SIGNAL. THE
RISE TIME OF THE SIGNAL DIRECTLY AFFECTS THE
RESOLUTION OF TDR

$$\Delta t_{res} = \frac{C_{eq}}{V_{ref}} \frac{t_{rise}}{2}$$

↑
INCIDENT
CST.

TDR RESOLUTION

AT ANY IMPEDANCE BOUNDARY A PORTION OF
THE ~~INCOMING~~ SIGNAL IS REFLECTED. THE
REFLECTED SIGNAL PORTIONS AS THEY RETURN
TO THE SAMPLER PORTION OF THE TEST HEAD

WHEN A SHUNT CAPACITOR IS ENCOUNTERED, THE REFLECTED
SIGNAL SHOWS A VOLTAGE DIP BECAUSE THE
CAPACITOR MUST CHARGE FROM THE INITIAL POTENTIAL
TO THE POTENTIAL OF THE INCIDENT WAVEFORM

WHEN A SERIES ~~INDUCTOR~~ INDUCTOR IS ENCOUNTERED, THE REFLECTED
SIGNAL SHOWS A VOLTAGE SPIKE. THE
CURRENT THROUGH THE INDUCTOR CAN'T CHANGE AS
FAST AS THE SIGNAL TRIES TO DRIVE IT. AT THE
FRONT END VOLTAGE INCREASES TO COMPENSATE

ADVANTAGES OF TDR

- (1) PROVIDES INFORMATION ABOUT ~~SEE~~ CIRCUITRY INTERNAL TO SEMICONDUCTOR PACKAGING
- (2) CAN BE USED TO LOCATE CIRCUIT FEATURES TEMPORARILY
- (3) DATA ACQUISITION AND INTERPRETATION IS QUICK
- (4) COMPARATIVE TDR CAN BE USED TO LOCATE CIRCUIT FEATURES PHYSICALLY

- COMPARATIVE TDR -

USES MULTIPLE TDR WAVEFORMS OF OBJECTS WITH KNOWN CIRCUIT FEATURES & COMPARES TO WAVEFORM OF UNKNOWN CIRCUIT FEATURES. SIMILARITIES AND DIFFERENCES PROVIDE INFORMATION ABOUT THE UNKNOWN WAVEFORM'S ASSOCIATED CIRCUITRY

DISADVANTAGES OF TDR

- (1) PROVIDES NO INFORMATION ABOUT THE CAUSE OF A FAILURE

EG.: A SHORT CIRCUIT CAUSED BY A THICK SOLDER WIRE APPEARS THE SAME AS A SHORT CIRCUIT CAUSED BY A THIN SOLDER FILAMENT

- (2) NOT USEFUL FOR MULTI-CHIP DEVICES

THE WAVEFORMS RESULTING FROM MULTIPLE CIRCUIT PADS ARE CURRENTLY EXCEEDINGLY DIFFICULT TO INTERPRET

- (3) TDR PROVIDES ONLY TIME DOMAIN INFORMATION

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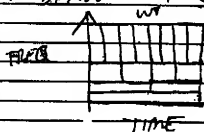
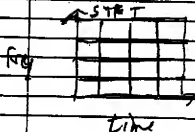
WAVELETS

THE BEST T-F ANALYSIS METHOD CHOSEN WAS WAVELET ANALYSIS. WAVELET ANALYSIS IS A RELATIVELY NEW TECHNIQUE, AT LEAST FOR WIDESPREAD APPLICATION.

THE WAVELET TRANSFORM^(W) CAN OVERCOME ONE OF THE PROBLEMS OF THE STFT, OTHER FT-BASED APPROACHES WITH FT-BASED APPROACHES A SINGLE FREQUENCY RESOLUTION MUST BE CHOSEN FOR ALL FREQUENCY BANDS. A HIGH RESOLUTION IN FREQUENCY RESULTS IN LOW TIME RESOLUTION. HIGH FREQUENCIES NEED GOOD TIME RESOLUTION TO BE DETECTED AND CHARACTERIZED WELL. LOWER FREQUENCIES NEED BETTER FREQ. LOCALIZATION, BUT NOT AS HIGH A TIME RESOLUTION. AS SUCH, FT-BASED APPROACHES ARE OPTIMIZED (USUALLY) FOR DETECTING CERTAIN CHARACTERISTICS.

THE WT MAKES THE TIME & FREQUENCY RESOLUTION TO CHANGE, BASED ON THE FREQUENCIES BEING EXAMINED. HIGH FREQUENCIES GET HIGH ~~TIME~~ RES. & LOWER FREQ. RES. LOW FREQUENCIES GET HIGHER FREQ. RES AND LOWER TIME RES.

THE WT DOES NOT OVERCOME THE HEISENBERG INEQUALITY. THE HEISENBERG BOXES SHOW THE DIFFERENCE BETWEEN WT & STFT



ALL OF THE HEISENBERG BOXES HAVE THE SAME AREA (THE MINIMUM RESOLUTION BASED ON UNCERTAINTY). WITH THE WT, THE SHAPE OF THE BOX IS MODIFIED.

THE WAVELET TRANSFORM IS EXPRESSED AS

$$W(a, b) = \int_{-\infty}^{\infty} x(t) \frac{1}{\sqrt{a}} \psi^* \left(\frac{t-b}{a} \right) dt$$

where a is the scale
 b is the translation
 ψ is the Mother wavelet

The mother wavelet is the absolutely integrable function that is used as a basis for REPRESENTING THE FUNCTION. THE SCALE IS THE DILATION FACTOR THAT COMPRESSION OR STRETCHES THE WAVELET, THE TRANSLATION IS THE FACTOR THAT MOVES THE MOTHER WAVELET ALONG THE TIME AXIS.

THE WT USES THE MOTHER WAVELET AS A BASIS FOR REPRESENTING THE FUNCTION, JUST LIKE THE FT USES COMPLEX EXPONENTIALS (SIN/COSINES) TO REPRESENT THE SIGNAL.

SCALE IS RELATED TO FREQUENCY BUT THEY ARE NOT DIRECTLY PROPORTIONAL. SCALE IS RELATED TO A FREQUENCY BAND (GIVEN SIZE OF HETIS-BOX) LARGER THAN A SPECIFIC FREQ. ADDITIONALLY NOT ALL WAVELETS HAVE TRUE FREQUENCIES LIKE SINE WAVES. BECAUSE OF THIS CENTER FREQUENCIES ARE USED TO GIVE APPROXIMATE FREQ. REPRESENTATIONS FOR SCALE.

$$\omega_{\text{center}} = \frac{\Delta \omega \omega_c}{a}$$

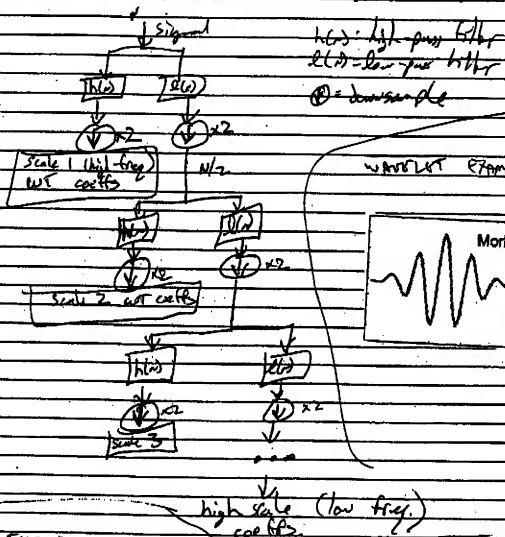
HIGH SCALE \rightarrow LOW F
 LOW SCALE \rightarrow HIGH F

where a is scale
 $\Delta \omega$ is sampling period
 ω_c is the center frequency of the mother wavelet.

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Sub-band coding was used to implement the WT. The following diagram explains SRC



THE FILTER COEFFICIENTS CAN BE OBTAINED USING THE DILATION EQUATION

$$\phi(x) = \sum_{k=0}^N c_k \phi(2x-k)$$

where the c_k are the coefficients for the high pass filter. THE WAVELET COEFFICIENTS EQUATION

$$\psi(x) = \sum_{k=-N}^N (-1)^k c_{1+k} \phi(2x-k)$$

function lowpassfilter(m, n)

Paul J.
2:32:37 PM

numden = [1];
[num, den] =impinvar(1, 1, n);

Number of scales to go

coeffs = [1];
coefficients

Matrix to hold the

myData = data;
% filter bank

signal to pass through

for lowpassfilter

for each scale

mySize = length(myData);

test length of current

c = signal;

highPass = conv(highFilter, myData);

lowPass = conv(lowFilter, myData);

high-pass filter is

low-pass filter is

highPass = highPass(2:2:mySize);

lowPass = lowPass(2:2:mySize);

down-sample by 2

coeffs = [highPass coeffs];

store the high-pass

coefficients

store the low-pass

myData = lowPass;

coefficients to the next level

end

store the last lowpass

coeffs = [myData coeffs];

add coefficients

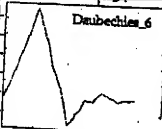
clip the coefficients

coeffs = clip(coeffs);

% so the low-scale coefficients appear first

THE SECOND WAVELET FAMILY IMPLEMENTED
WAS DAUBECHIES. I CHOSE THE WAVELET
WITH 4 VANISHING MOMENTS. THIS WAVELET
IS VERY POPULAR FOR GENERAL USE & DETECTS
DISCONTINUITIES WELL. DAUBECHIAN IS THE CODE

Daubechies 6



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THE WT(Haar) SHOWS A LOW FREQUENCY SIGNAL PRESENT THROUGHOUT THE DURATION OF THE SIGNAL. (ONE SCALE IS CONSTANT) THE Haar wavelet is a step function so it is an excellent basis and provides precise results.

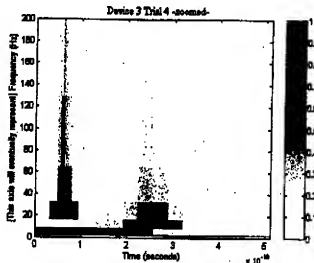
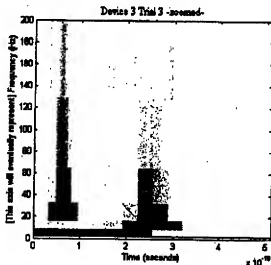
THE WT(DaB) SHOWS A LOW REPD SIGNAL IN THE SAME SCALE AS THE Haar wavelet. THE DaB wavelet also shows low frequency components where the step function transitions from high to low. IF OUR GOAL IS TO DETECT SHARP TRANSITIONS DaB MIGHT WORK BETTER THAN Haar.

FOR THE STEP FUNCTION THE Haar wavelet - (bark) WT PRODUCED THE BEST RESULTS. FOR THIS REASON, IT MAY BE LIKELY THE HAAR wavelet WILL BE THE BEST CHOICE FOR ANALYZING TDR SIGNALS.

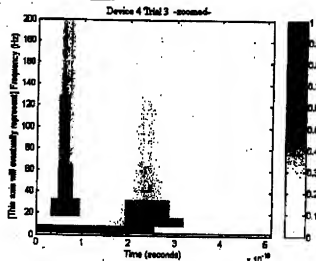
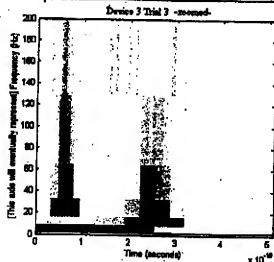
BECAUSE THE TDR SIGNAL IS NOT EXACTLY A STEP FUNCTION, BOTH wavelets WILL BE USED TO ANALYZE TDR SIGNALS.

HAAR ANALYSIS

THE WT USING THE HAAR WAVELET WAS TESTED
ON TWO TRIALS OF UNIT 3 (NO DIC).
THE RESULTS WERE VISUALLY SIMILAR. THE WT (HAAR)
IS REPEATABLE FOR TDR SIGNALS



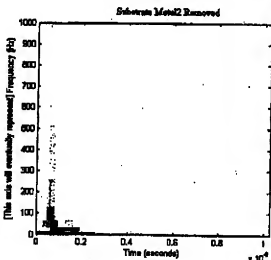
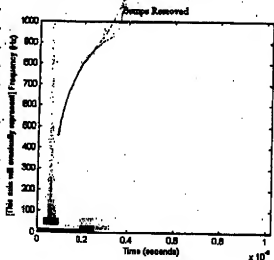
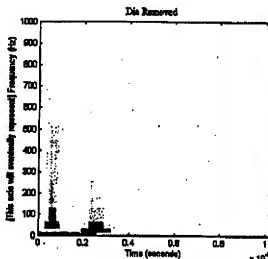
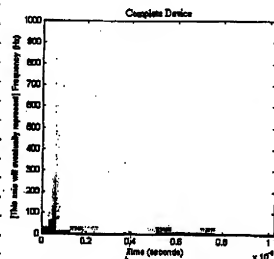
THE WT (HAAR) WAS ALSO USED IN TWO SIMILAR
UNITS (HAAR) WITH THEIR DIC REMOVED.
THE RESULTS WERE VISUALLY SIMILAR. THE
WT (HAAR) IS CONSISTENT



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THE WT(HAAR) WAS THEN USED ON UNITS
IN ALL FOUR STATES PREPARED:
U1T1, U3T1, U5T1, U7T1

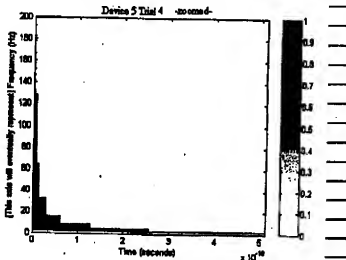
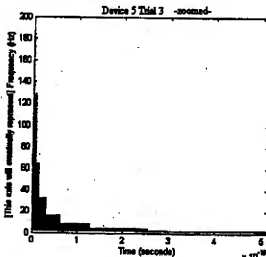


WT Using Haar Wavelets for Four Dissimilar Units

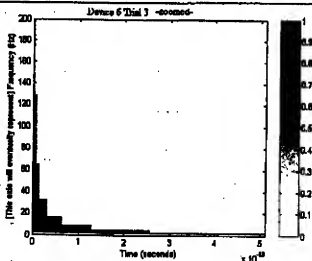
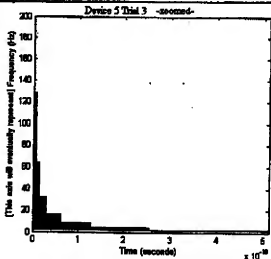
THE WT (HAAR) SHOWS PROGRESSION; THERE
ARE USABLE DIFFERENCES BETWEEN UNITS
IN DIFFERENT STATES.

DATA 4 Analysis

THE WT USING THE PARACHIES WAVELET WAS TESTED ON TWO TRIALS OF UNIT 5 (no die or bumps). THE RESULTS WERE VISUALLY SIMILAR. THE WT (DATA) IS REPRESENTING FOR TDR SIGNALS



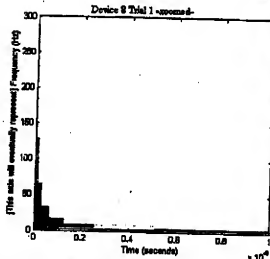
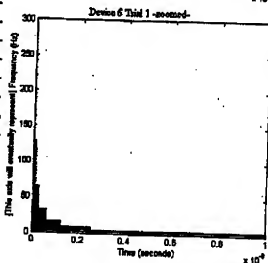
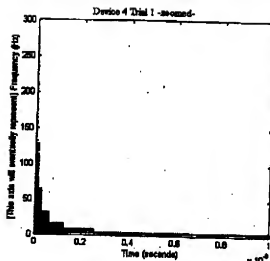
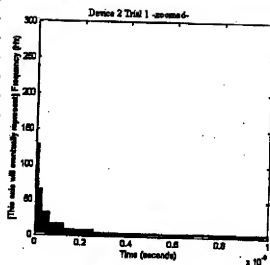
THE WT (DATA) WAS ALSO USED IN TWO SIMILAR UNITS (5K16) w/ BOTH DIE & BUMP REMOVED. THE RESULTS WERE VISUALLY SIMILAR. THE WT (DATA) IS CONSISTENT



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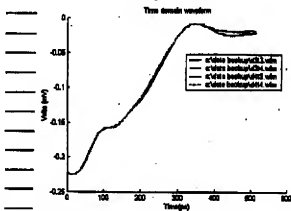
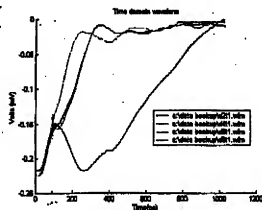
THE WT (DWB) WAS THEN USED ON UNITS IN
ALL FOUR PREPARED STATES
U2H, U4H, U6H, U8H



WT Using D4 Wavelets for Four Dissimilar Units

THE WT (DWB) SHOWS PROGRESSION BUT IT
IS FAR LESS NOTICABLE THAN IN THE
HARR WT.

WT (HARR) ANALYSIS



FROM NO-20 IS, THE TDR WAVEFORMS ARE IDENTICAL. THE ~~UNIT~~ VOLTAGE DIP AT THE BEGINNING OF THE TDR SIGNAL IS THE RESULT PRIMARILY OF THE PROBE TIP CONTACTING THE UNIT.

AFTER THIS POINT THERE ARE DIFFERENCES BETWEEN THE DEVICES, BUT THEY ARE VERY SLIGHT AND DIFFICULT TO INTERPRET, ESPECIALLY FOR VMS LARGE CIRCUIT PATHS ARE NOT NEARLY THE SAME LENGTH.

A. TECHNIQUE THAT COULD EMPHASIZE THE DIFFERENCES WOULD AID COMPARATIVE TDR GREATLY AND COULD HELP BETTER ISOLATE DEFECTS.

WAVELET ANALYSIS, ESPECIALLY USING HAAR WAVELETS, CAN BE USED TO HELP HIGHLIGHT THE DIFFERENCES ~~BECAUSE~~ ^{BECAUSE} THE HIGH FREQUENCIES WHICH ARE ASSOCIATED WITH THE ~~MAJOR~~ ^{SMALL} CHANGES OF THE WAVEFORM CAN BE COMPARED BETWEEN VENTS TO DETERMINE IF THEY EXHIBIT SIMILAR CHANGES.

THE HAAR WT IS ALSO VERY USEFUL AT IDENTIFYING THE RESISTIVE (FLAT TOP SIGNAL) AREAS IN THE SIGNAL. THESE AREAS EXHIBIT ONLY LOW FREQUENCIES AND CAN BE EASILY IDENTIFIED USING THE HAAR WAVELET AT A DB=1.

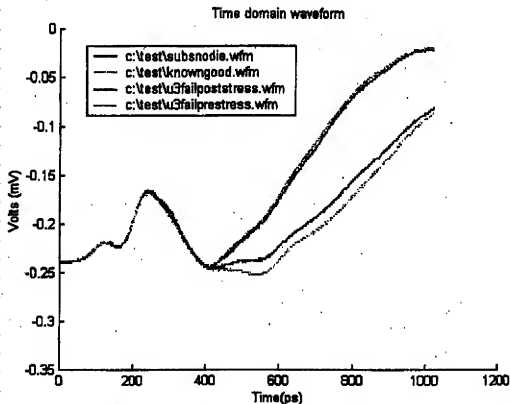
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THE UT (HARD) WAS USED ON A SERIES OF TDR WAVEFORMS ACQUIRED BY OMAR DIAZ & LEON FOR COMPARATIVE TDR. THE DEVICE ORIGINALLY SHOWED TO HAVE A FAILURE AT THE BUMP-TU-DIE INTERFACE.

AFTER STRESSING THE UNIT ELECTRICALLY THE UNIT RECOVERED & ITS NEW SIGNATURE RESEMBLED THAT OF A GOOD UNIT



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PROJECT NAME _____

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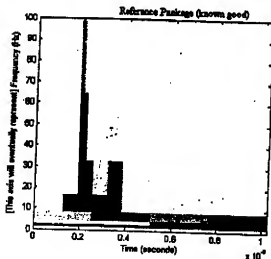
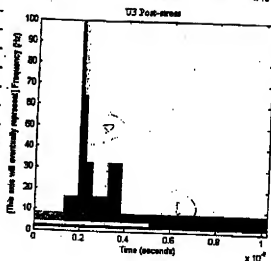
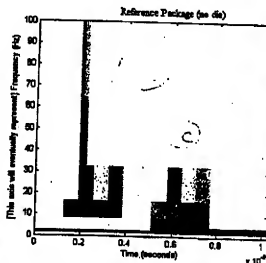
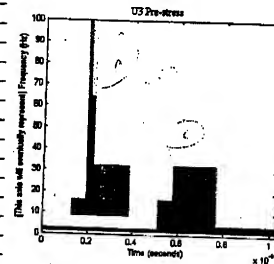
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WT(HAAR)ANALYSIS FOR RECALIBRATED UNIT

WT using Haar wavelets for Four Dissimilar Test Units

AS INDICATED IN THE TIME DOMAIN, PRESTRESS
RESPONSES MOVE GREATLY AS POST-STRESS DOES
KNOWN GOOD

BOTH REFERENCE PACKAGES EXHIBIT SHARPER
TIME TRANSITIONS THAN THE TESTED UNIT. THE
WORKERS MOVE FROM ONE COLOR TO THE NEXT & STAY THERE
FOR A FEW BOXES WHILE THE TESTED UNIT'S CHANGE COLOR
AT EACH BOX, BUT AT SMALLER INTERVALS

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THE WAVELET TRANSFORM SHOWS PROMISE
IN HELPING TO DIFFERENTIATE BETWEEN
IDR WAVEFORMS AND MAY BE HELPFUL IN
FINDING CHARACTERISTICS OF THE WAVEFORMS.

NO DOCUMENTATION FOR USING WAVELETS
TO HELP DIFFERENTIATE SIGNALS WAS FOUND
IN MY RESEARCH.

NO DOCUMENTATION FOR USING WAVELETS TO
AID IN IDR ANALYSIS WAS FOUND IN
MY RESEARCH.

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EQUIPMENT USED:HARDWARE:

Generic PC

TEKTRONIX 11801C DIGITAL Sampling Oscilloscope

TEKTRONIX SD-24 20GHz TDR Sampling Head

NATIONAL INSTRUMENTS PCI-6013 INTERFACE BOARD

NATIONAL INSTRUMENTS 488.200 (Unit 9)

SOFTWARE: IDA SYSTEMS ICANNET 1.5

MATHWORKS MATLAB R12.1

PROC.

TI CALIBRATION LAB - CREATED SCIR DUAL TIP PROBE

WAVELET SOFTWARE TOOLS EVALUATED:

RICE WAVELET TOOL-BOX

<http://www.ospfile.com/Software/rwt.shtml>

WAVELAB 802 (STANFORD UNIVERSITY)

<http://www-stat.stanford.edu/~wavelets/>WAVELET TOOLS FROM UNIVERSITY OF COLORADO'S
PROGRAM IN ATMOSPHERIC & OCEANIC SCIENCES<http://paos.colorado.edu/research/wavelets>

UVI WAVE 3 (UNIVERSITY OF VIENNA)

http://cas.enscm.fr/~Chaplais/UViWave/ABOUT_UViWave.htmSIGNATURE 
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SOLUTION! FOUND:

THE WAVELET TRANSFORM CAN BE USED TO REPRESENT AND VISUALIZE TDR WAVEFORMS IN A DIFFERENT MANNER. THE WT AIDS IN DETERMINING BOTH WHAT WAVEFORM FEATURES OCCUR AND WHEN THEY OCCUR. BY SEPARATING HIGH & LOW FREQUENCIES, FEATURES MAY BE MORE EASILY OBSERVED.

BY SEPARATING HIGH & LOW FREQUENCIES AND LOCATING THEM TEMPORALLY THE WT CAN ALLOW FOR EASIER COMPARISON OF WAVEFORMS THAN TDR.

THE WT CAN BE USED TO IDENTIFY FREQUENCY COMPONENTS PRESENT IN A TDR SIGNAL AS WELL AS WHEN THE COMPONENTS ARE PRESENT. THIS ALLOWS TIME-FREQUENCY ANALYSIS TO BE PERFORMED ON TDR SIGNALS. THE FREQUENCY INFORMATION MAY BE USEFUL IN IDENTIFYING CIRCUIT AND DEFECT PROPERTIES.

~~THE STFT IS USED~~

COMMERCIALLY AVAILABLE SOFTWARE CURRENTLY PROVIDES FFT & S-PARAMETER FUNCTIONALITY. NO TIME-FREQUENCY ANALYSIS TOOLS ARE KNOWN TO BE AVAILABLE.

NO MENTION OF THE STFT, WVD OR WT WAS FOUND IN RESEARCHING TDR. IT DOES NOT APPEAR AS THOUGH ~~THE~~ TIME-FREQUENCY ANALYSIS IS CURRENTLY APPLIED TO TDR WAVEFORMS.

NO MENTION OF THE STFT, WVD OR WT WAS FOUND IN FAILURE ANALYSIS PUBLICATIONS. IT DOES NOT APPEAR AS THOUGH TF ANALYSIS IS CURRENTLY APPLIED IN FA.

FREQUENCY ANALYSIS THROUGH THE FFT IS USED OF INTEREST FOR TDR & FA.

BY USING THE FFT FOR ANALYSIS, THE
TEMPERATURE INFORMATION IS COMPLETELY LOST. THE
IDR SIGNAL IS NON-STATIONARY AND THE
TIMES DURING WHICH FREQUENCY COMPONENTS
ARE PRESENT ARE CRITICAL FOR
ANALYSIS.

BY USING THE IWT, FREQUENCY COMPONENTS
CAN BE ISOLATED AND ASSOCIATED
WITH SPECIFIC CIRCUIT COMPONENTS RATHER
THAN JUST THE CIRCUIT AS A WHOLE.

SIGNATURE 
READ AND UNDERSTOOD

DATE  19
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